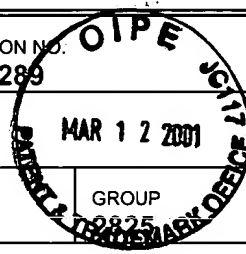


FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		ATTY DOCKET NO. <b>35.C14384</b>  APPLICATION NO. <b>09/506,289</b>  APPLICANTS <b>MASAFUMI KYOGAKU ET AL.</b>  FILING DATE <b>February 18, 2000</b>	
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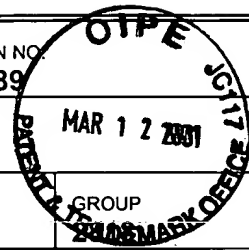
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<i>MB</i>	6,169,356	1/2/01	Ohnishi et al.	313	495	6/23/94	

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		
<i>MB</i> 8-264112	10/11/96	Japan	—	—	Abstract		
<i>MB</i> 8-162015	6/21/96	Japan	—	—	Abstract		
<i>MB</i> 9-27268	1/28/97	Japan	—	—	Abstract		
<i>MB</i> 9-27272	1/28/97	Japan	—	—	Abstract		
<i>MB</i> 10-3848	1/6/98	Japan	—	—	Abstract		
<i>MB</i> 10-3847	1/6/98	Japan	—	—	Abstract		
<i>MB</i> 10-3853	1/6/98	Japan	—	—	Abstract		
<i>MB</i> 10-3854	1/6/98	Japan	—	—	Abstract		
EP 0 701 265 B1	3/13/96	EPO	—	—			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
<i>MB</i>	W.P. Dyke et al., "Field Emission", Advances in Electronics and Electron Physics, Vol. VIII, 1956, pp. 89-185.
<i>MB</i>	H. Araki, et al., "Electroforming and Electron Emission of Carbon Thin Films", Journal of the Vacuum Soc. of Japan, Vol. 2-6, No. 1, 1983, pp. 22-29 (with English Abstract on p. 22).
<i>MB</i>	G. Dittmer, "Electrical Conduction and Electron Emission of Discontinuous Thin Films", Thin Solid Films, 9, 1972 pp. 317-328.
<i>MB</i>	M. Elinson, et al. "The Emission of Hot Electrons and the Field Emissions of Electrons From Tin Oxide", Radio Engineering and Electronic Physics, July 1965, pp. 1290-1298.

EXAMINER <i>Kenneth R. [Signature]</i>	DATE CONSIDERED <i>5/21/01</i>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(Use several sheets if necessary)ATTY DOCKET NO.  
**35.C13484**APPLICATION NO.  
**09/506,289**APPLICANTS  
**MASFUMI KYOGAKU ET AL.**FILING DATE  
**February 18, 2000**

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>NAB</i>		C.A. Mead, "Operation of Tunnel-Emission Devices," J. Applied Physics, Vol. 32, No. 4, April 1961, pp. 646-652.
<i>NAB</i>		C.A. Spindt et al., "Physical Properties of Thin Films of Thin-film Field Emission Cathodes with Molybdenum Cases", J. Applied Physics, Vol. 47, No. 12, Dec. 1976, pp. 5248-5263.
<i>NAB</i>		M. Hartwell et al., "Strong Electron Emission From Patterned Tin-Indium Oxide Films", IEDM, 1975, pp. 519-521.

EXAMINER

DATE CONSIDERED 5/2/01

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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